## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

## U.S. PATENT DOCUMENTS

Examiner	Cite	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
Initials	No.						
III(IIII)							
799117							
/TH/		60/706,109	8/5/2005	Noviello			
PP1 17		2007/0156754	7/5/2007	Busch et al.			
/TH/		2007/0130734	11312001	Duscii et ai.			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Document Number	Date	Country Code	Name of Patentee or Applicant of Cited Document	Sub-Class	Translation
/TH/		WO 2001/093169 A1	12/6/2001	PCT	Waelbroeck et al.		

## NON PATENT LITERATURE DOCUMENTS

No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
	Australian Examiner's Report for Application No. 2005208980, dated November 17, 2009 (2 page		17, 2009 (2 pages).			
	Australian Examiner's Report for Application No. 2005208978, dated November 26, 2009 (1 page).					
	Australian Examiner's Report for Application No. 2005208981, dated December 14, 2009 (3 pages).					
	USPTO Office Action for Application No. 10/767,649, August 27, 2009 (20 pages).					
	USPTO Examiner Interview Summary for Application No. 10/767,699, December 23, 2009 (3 pages).					
	USPTO Examiner's Answer to Appeal Brief for Application No. 10/767,699, December 24, 2009 (16 pages).					
	USPTO Examiner's Answer to Appeal Brief for Application No. 10/766,965, January 20, 2010 (19 pages).					
	USPTO Notice of Allowance and Fees Due and Examiner Interview Summary for Application No. 11/499,496, dated February 17, 2010 (89 pages).					
	George Simon & Kathryn Trikla, "The Regulation of Specialists and Implications for the Future," Business Lawyer, Nov. 2005, pp. 217-387.					
	The 'Hybrid Approach': A review of NYSE's Market Structure Proposal, Mondaq Business Briefing, NA, Dec 9, 2004.					
	/Thu Thao Havan/ Date Considered 08/16/2010					
		Australian Examiner's Report for Application No. 2005208978, da  Australian Examiner's Report for Application No. 2005208981, da  USPTO Office Action for Application No. 10/767,649, August 27, 3  USPTO Examiner Interview Summary for Application No. 10/767,  USPTO Examiner's Answer to Appeal Brief for Application No. 10 pages).  USPTO Examiner's Answer to Appeal Brief for Application No. 10 pages).  USPTO Notice of Allowance and Fees Due and Examiner Intervie 11/499,496, dated February 17, 2010 (89 pages).  George Simon & Kathryn Trikla, "The Regulation of Specialists ar Business Lawyer, Nov. 2005, pp. 217-387.  The 'Hybrid Approach': A review of NYSE's Market Structure Prop NA, Dec 9, 2004.	Australian Examiner's Report for Application No. 2005208978, dated November  Australian Examiner's Report for Application No. 2005208981, dated December  USPTO Office Action for Application No. 10/767,649, August 27, 2009 (20 pages)  USPTO Examiner Interview Summary for Application No. 10/767,699, December  USPTO Examiner's Answer to Appeal Brief for Application No. 10/767,699, December  USPTO Examiner's Answer to Appeal Brief for Application No. 10/766,965, Januages).  USPTO Notice of Allowance and Fees Due and Examiner Interview Summary for 11/499,496, dated February 17, 2010 (89 pages).  George Simon & Kathryn Trikla, "The Regulation of Specialists and Implications Business Lawyer, Nov. 2005, pp. 217-387.  The 'Hybrid Approach': A review of NYSE's Market Structure Proposal, Mondaq NA, Dec 9, 2004.			